

Electronic Acknowledgement Receipt

EFS ID:	5068326
Application Number:	10627626
International Application Number:	
Confirmation Number:	4309
Title of Invention:	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis
First Named Inventor/Applicant Name:	Steven M.H. Wallman
Correspondence Address:	Bradley J. Meier KENYON & KENYON Suite #700 1500 K Street, N.W. Washington DC 20005 US 2022204200 -
Filer:	Shawn W. Odowd
Filer Authorized By:	
Attorney Docket Number:	10392/460043
Receipt Date:	31-MAR-2009
Filing Date:	28-JUL-2003
Time Stamp:	18:19:37
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment

no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Foreign Reference	SatoshiJPP300machinetranslation.pdf	686916 24ca6016a72bdc944c202a99e342dc87d4fe	no	15
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed (SB/08)	1449-02.pdf	814655 dc1e1984b52729d423881303d2667524f3c88a0	no	5
Warnings:					
Information:					
A U.S. Patent Number Citation is required in the Information Disclosure Statement (IDS) form. You may remove the form to add the required data in order to correct the Informational Message or if you chose not to, the image of the form will be processed and be made available within the Image File Wrapper (IFW) system. However, no data will be extracted from this form. Any additional data such as Foreign Patent Documents or Non Patent Literature will be manually reviewed and keyed into USPTO systems.					
3	Foreign Reference	SatoshiJPP30abstr.pdf	531717 5dc34d17e0280285c5a389d7e748d3c62ce627a0	no	11
Warnings:					
Information:					
Total Files Size (in bytes):			2033288		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					